

Substitute for form 1449A/PTO

OCT 28 2004

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

**Complete If Known**

Application Number 09/699,495  
 Filing Date Oct. 31, 2000  
 First Named Inventor A. Gorin, et al.  
 Group Art Unit 2645  
 Examiner Name Scott Weaver  
 Attorney Docket Number 112233Con-1

**RECEIVED**

NOV 01 2004

Technology Center 2600

Sheet 1 of 2

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
JTF	1	5,675,707		A. Gorin et al.	10-07-1997	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Office <sup>4</sup>	Number (if known)	Kind Code <sup>2</sup>				
								<input type="checkbox"/>
								<input type="checkbox"/>
								<input type="checkbox"/>
								<input type="checkbox"/>
								<input type="checkbox"/>
								<input type="checkbox"/>
								<input type="checkbox"/>
								<input type="checkbox"/>

Examiner  
SignatureDate  
Considered

04/01/05

\*EXAMINER: Initial if referenced considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents <sup>3</sup>Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

SEND TO: Commissioner for Patents, Box Patent Application, Washington, D.C. 20231

**Best Available Copy**


Substitute for form 1449A/PTO OCT 28 2004		Complete if Known	
<b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		Application Number	09/699,495
		Filing Date	Oct. 31, 2000
		First Named Inventor	A. Gorin et al.
		Group Art Unit	2645
		Examiner Name	Scott Weaver
Attorney Docket Number	112233Con-1		
Sheet	2	of	2

RECEIVED

NOV 01 2004

Technology Center 2600

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
QJP	1	Abella A., et al., "Generating Semantically Consistent Inputs to a Dialog Manager", 5 <sup>th</sup> European Conference on Speech Communication and Technology, Eurospeech '97, Sept., 1997.	<input type="checkbox"/>
QJP	2	Riccardi G., et al., 'A Spoken Language System for Automated Call Routing', International Conference on Acoustics, Speech, and Signal Processing, ICASSP-97, 1997.	<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>

Examiner Signature		Date Considered	04/01/05
--------------------	---	-----------------	----------

\*EXAMINER: Initial if referenced considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number ² Applicant is to place a check mark here if English language translation is attached.

SEND TO: Commissioner for Patents, Box Patent Application, Washington, D.C. 20231



PTO/SB/08B(10-01)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	09/699,495
Filing Date	October 31, 2000
First Named Inventor	A. Gorin et al.
Group Art Unit	2645
Examiner Name	Joseph Phan
Attorney Docket Number	2685/5649

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
JP		GORIN et al., "How May I Help You", AT&T Research, Murray Hill, New Jersey, to appear in the Proc. of IVTTA, Philadelphia, October 1996.	
JP		Allen L. GORIN, "Processing of Semantic Information in Fluently Spoken Language", AT&T Research, Murray Hill, New Jersey, to appear in the Proceedings of the ICSLP, October 1996.	
JP		GORIN et al., "Spoken Language Acquisition for Automated Call Routing in a Telephone Network", Proceedings 1993 IEEE Workshop on Automatic Speech Recognition, Dec. 12-15, 1993.	
JP		Allen L. GORIN, "Spoken Dialog as a Feedback Control System", ESCA Workshop on Spoken Dialogue Systems, Vigso, Denmark, May 30-June 2, 1995.	
JP		GORIN et al., "Spoken Language Acquisition for Automated Call Routing", ICSP 94, Sept. 18-22, 1994.	
JP		Allen GORIN, "On Automated Language Acquisition", J. Acoust. Soc. Am. 97 (6), June 1995, pp. 3441-3461.	

RECEIVED

FEB 20 2003

Technology Center 2600

Examiner Signature		Date Considered	04/01/05
-----------------------	--	--------------------	----------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.